

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007, OMB 0651-0021

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### TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 2004P00567WOUS

(If pown, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** RIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/EP2005/051621 04/13/2005 04/13/2004 TITLE OF INVENTION DEVICE FOR PRODUCING A HOT DRINK APPLICANT(S) FOR DO/EO/US Michael Fugger et al Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. X The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. X An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. l An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. X An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

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U.S. APPLICATION NO. (If known to 87 CFR 1.5)			INTERNATIONAL APPLICATION NO. PCT/EP2005/051621		ATTORNEY'S DOCKET NUMBER 2004P00567WOUS			
20. Other items or information: a.) Return Postcard b.) Certificate of Translation								
The following fees have been submitted					CALCULATIONS	PTO USE ONLY		
21. X Basic national fee (37 CFR 1.492(a))					\$ 300.00			
22. X Exam	nination fee (37 CF							
by IPEA/	ion prepared by IS US indicates all cl ns	\$ 200.00						
23. X Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 400.00			
TOTAL OF 21, 22 and 23 =					\$ 900.00			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets	Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number)							
- 100 =	- 100 = /50 =			x \$250	\$	1		
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					\$			
CLAIMS NUMBI		R FILED NUMBER EXTRA RATE		\$				
Total claims	1	1 - 20 =	0	x \$ 50	\$ 000.00			
Independent clair	ms	1 -3=	0	× \$200	\$ 000.00			
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360					\$			
TOTAL OF ABOVE CALCULATIONS =					\$ 000.00			
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.								
SUBTOTAL =					\$ 900.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). +					\$			
TOTAL NATIONAL FEE =					\$ 900.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$			
TOTAL FEES ENCLOSED =					\$ 900.00			
· 					Amount to be refunded:	\$		
					Amount to be charged	\$ 900.00		

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		- 1					
a. 🔲	A check in the amount of \$ to cover the above fees is enclosed.	۱					
b. X	Please charge my Deposit Account No. 502786 in the amount of \$ 900.00 to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. X	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 502786 . A duplicate copy of this sheet is enclosed.						
,d. 🗀	Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND A Craig BSH H	LL CORRESPONDENCE TO:  J. Loest J. Loes						

## 10/593768 IAP9 Rec'd PCT/PTO 21 SEP 2006

Attorney Docket No. 2004P00567WOUS

#### **CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10**

Express Mail mailing label number: EQ 916538211 US

Applicant:

Michael Fugger et al

Title:

DEVICE FOR PRODUCING A HOT DRINK

Date of Deposit

September 21, 2006

Type of Document(s)

Certificate of Express Mailing (1 page);

Transmittal Letter to the United States

Designated/Elected Office Concerning a Submission under 35 U.S.C. 371, Original and Copy (6 pages);

Certificate of Translation (1 page); Specification (9 pages including claims);

Drawings (4 pages);

Preliminary Amendment (5 pages); Application Data Sheet (4 pages);

PCT Publication WO 2005/099535 (14 pages); Information Disclosure Statement (43 pages);

Return postcard.

Serial No.:

Unassigned

Filing Date:

Concurrently Herewith

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on September 21, 2006 and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signatur

Craig J. Loest, Registration No. 48,557

Printed Name

# <u>CERTIFICATION OF ATTACHED ENGLISH TRANSLATION OF PCT APPLICATION:</u>

PCT/EP2005/051621 based on DE 10 2004 017 831.3 filed 04/13/2004

I hereby certify the English translation attached is a true and accurate copy of the referenced PCT/EP2005/051621 application.

Craig Jacest

September 21, 2006

Registration No. 48,557